

CASES* CONSIDERED DURING 2010

Type of Case	Pending from 2009 and Earlier	Received in 2010*	Total
Conduct	15	7	22
Practice	7	9	16
Conduct & Practice	1	4	5
Requests for Guidance	0	55	55
Total	23	75	98

Cases by Practice Area	Pending from 2009 and Earlier	Received in 2010*	Total
Casualty	12	11	23
Health	1	20	21
Life	5	12	17
Pension	5	32	37
Total	23	75	98

* Including requests for guidance

CASES CLOSED

Action by Individual ABCD Members

Replied to requests for guidance 55

Disposition by Chairperson and Vice Chairpersons

Dismissed 12

(Referred to Investigators in 2010: 11)

Dismissed with Guidance 1

Disposition by Whole ABCD After Investigation

Dismissed 4

Recommended private reprimand 2

Recommended public reprimand 0

Recommended Suspension 3

Recommended Expulsion 0

Total Cases Closed (including requests for guidance) 77

CASES IN PROGRESS (AS OF 12/31/10)

Pending disposition	0
Pending hearing	1
Pending investigation	8
Pending receipt of more information (from complainant, subject, other)	11
Cases suspended	1
Total Cases in Progress	21

Since its inception in 1992, the ABCD has completed its cases as follows:

Dispositions	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001
Dismissed	12	24	9	11	8	11	13	10	5	20
Dismissed with guidance	6	10	3	---	5	1	5	2	8	5
Counseled	---	2	8	1	6	2	5	---	2	3
Mediated	3	1	1	---	---	---	---	1	---	4
Recommended private reprimand	---	---	---	---	---	---	---	---	1	1
Recommended public discipline	---	1	2	---	3	---	1	---	3	---
Replied to requests for guidance	8	8	8	10	28	31	22	31	36	21
Total	29	46	31	22	50	45	46	44	55	54

Dispositions	2002	2003	2004	2005	2006	2007	2008	2009	2010	Total
Dismissed	16	7	5	5	1	5	11	29	16	218
Dismissed with guidance	4	2	2	4	1	0	1	5	1	65
Counseled	2	4	1	4	3	1	2	0	0	46
Mediated	---	1	---	---	---	1	0	0	0	12
Recommended private reprimand	---	---	---	---	---	0	1	0	2	5
Recommended public discipline	---	1	---	2	1	1	3	2	3	23
Replied to requests for guidance	47	30	46	37	31	35	48	46	55	578
Total	69	45	54	52	37	43	66	82	77	947