

Date	Title	Author	Topic
Jan/Feb 2006	Basics of the ABCD - Who, What, Why, When, Where, How and Why	Julia J. Philips	Inaugural article describing ABCD structure and process
Mar/Apr 2006	Living with Precept 10	Edward E. Burrows	Considerations when transitioning work using a defined benefit pension plan as an example
May/June 2006	ABCD Advice for New Actuaries: Top 10 Ways to Immunize Your Professionalism	Julia J. Philips	Ideas for providing preventative care to your professionalism
July/Aug 2006	International Actuarial Standards of Practice - Suggestions or Requirements?	Lauren Bloom	The interaction of Precepts 1 and 3 and US and International Standards of Practice
Sept/Oct 2006	The Look-in-the-Mirror Test	Carol R. Sears	Precept 2 and the importance of carefully considering whether you are qualified using two case study examples
Nov/Dec 2006	Qualified...or Not	William J. Falk	Precept 2 and some specific questions to ask in determining whether you are qualified or not
Jan/Feb 2007	An Ounce of Prevention - The First Step	Jack Turnquist	Considerations in evaluating and selecting potential assignments
Mar/Apr 2007	The Case of the Careless Subordinates - Is an actuary responsible for the work performed by others under his control?	Michael Toothman	A cautionary tale of undue reliance on subordinates, overconfidence and a lack of quality control
May/June 2007	Mercy or Justice	Lawrence A. Johansen	A review of the investigative process and the first 15 years of the ABCD
July/Aug 2007	Precept 13 - A Snitch in Time	Edward E. Burrows	The importance of Precept 13 in preserving the status of the actuarial profession as a self-regulating body
Sept/Oct 2007	We Need to Do Better	Richard Robertson	A discussion of common causes leading to an inadequate work product
Nov/Dec 2007	Affordable Virtue - Ethics for Pension Professionals	Kurt F. Piper	Instilling and increasing ethical behavior - applicable to all - despite the title
Jan/Feb 2008	The Rules are Your Friend	Linda Bell	Attention golfers! This article discusses the "rules" of the actuarial profession with analogies to golfing
Mar/Apr 2008	Paul's Puzzle: A Fable for Actuaries	Julia Philips	A fable of inadequate reserves, internal pressures and professionalism with numerous possible outcomes
May/June 2008	An Actuary Defends Herself	Julia Philips	An illustrative story on the power of documented compliance with professional standards
July/Aug 2008	Requesting Guidance from the ABCD	Tom Griffin	A description of the RFG process
Sept/Oct 2008	Broaching the Subject of Professional Behavior	Julia Philips	A story of handling a sensitive topic with a coworker

Nov/Dec 2008	Actuaries, Hill Street Blues and Data Security	Robert J. Reitz and Beth R. Sanders	Tips for proactively protecting data
Jan/Feb 2009	When is a Violation Resolved?	William J. Falk	An example RFG exploring if an apparent material violation is resolved
Mar/Apr 2009	I'm an International Actuary?	Curtis Huntington	A reminder that the Code's scope is not limited to US practice
May/June 2009	Student of Change	A Conversation with Carol Sears	Getting the message of professionalism out early and often
July/Aug 2009	Interviewing with Integrity	Margaret Resce Milkint and Mary Kilkenny	Demonstrating integrity in and out of the interview rooms - for candidates and employers
Sept/Oct 2009	Don't Regret Having Filed a Complaint	Julia T. Philips	Ways to comply with Precept 13
Nov/Dec 2009	The Carol of the Bells	Robert J. Reitz	Three warning bells - situations that can lead to problems
Jan/Feb 2010	Moving from Discipline to Guidance	Paul Fleischacker	Insights from an actuary who moved from investigator to ABCD member
Mar/Apr 2010	In Answer to Your Many Questions	Mike Toothman	Answers to questions regarding the Code collected from recent presentations
May/June 2010	Your Comments Please: Changing the Disciplinary Process	Roger Hayne and Robert J. Reitz	A request for comments on changes to the disciplinary process - see summary of answers Jan/Feb 2011
July/Aug 2010	Regulator as Resource	John Purple	The benefits of developing a professional working relationship with regulators
Sept/Oct 2010	Are You a Rule Follower?	Julia T. Philips	Five questions to ask in assessing rules
Nov/Dec 2010	Materiality	Richard Robertson	An exploration of what may be a material violation of the Code
Jan/Feb 2011	Comments on Changing the Disciplinary Process	Robert J. Reitz	A summary of comments on proposed changes to the disciplinary process
Mar/Apr 2011	Non-Actuarial Services Under the Code	Kurt F. Piper	Applying Precept 1 to non-actuarial services
May/June 2011	Question Time	James Gutterman	Impressions from a first-year ABCD member
July/Aug 2011	International Compliance	Curtis Huntington	Establishing international standards - see more current discussion in the Nov/Dec 2011 issue
Sept/Oct 2011	Are You an Expert?	Paul R. Fleischacker	Navigating your Precept 13 obligations in expert witness cases

Nov/Dec 2011	A Look at Transparency	Robert J. Reitz	Balancing confidentiality and transparency
Jan/Feb 2012	Types of Discipline	Nancy Behrens	An overview of the types of dismissals and discipline levels
May/June 2012	Peer Review	Janet Fagan	A critical look at the peer review process and what it can and cannot provide
July/Aug 2012	Keeping the Code	Kathy Riley	Precept 13 and assessing a potential violation
Sept/Oct 2012	Let's Be Perfectly Clear	James Gutterman	A discussion of ASOP No. 41, <i>Actuarial Communications</i> with a focus on responsibility, reliance, disclosure and defending deviation
Nov/Dec 2012	Modeling to Code	John Purple	Identifies ASOPs to consider for Predictive Modeling (note: predates ASOP No. 56, <i>Modeling</i>)
Jan/Feb 2013	New Year's Resolutions	Nancy Behrens	Tips for making a professional development plan and sticking with it
Mar/Apr 2013	Moral Turpitude	Curtis Huntington	A look at Precept 1-4
May/June 2013	There's an App for That	Janet Fagan	The Code of Conduct, ASOPs and the USQS are only a click away
July/Aug 2013	How to Evade the Code	Robert J. Reitz	Several examples of missteps to avoid
Sept/Oct 2013	Phone a Friend	Kathleen A. Riley	How the RFG process can work as your professional lifeline
Nov/Dec 2013	New Kid on the Block	Rick Block	Insights into the ABCD process by a new Board member
Jan/Feb 2014	When Harry Met Sally	Janet Carstens	An overview of the target timeline for an ABCD complaint. Spoiler alert - this is not a comedy
Mar/Apr 2014	A Show of Hands	Nancy Behrens	Encouragement to put the Code on your annual reading list
May/June 2014	Owning Precept 13	John Purple	Precept 13 is the cornerstone of our profession. Be aware of the requirements.
July/Aug 2014	CE Checklist for Enrolled Actuaries	Rick Block	Considerations in meeting continuing education requirements
Sept/Oct 2014	You Sign It, You Own It	Janet Fagan	Reliance on the work of others is both common and acceptable with appropriate disclosures
Nov/Dec 2014	Must or Should? Read ASOP No. 1	Kathy Riley	Read ASOP No. 1
Jan/Feb 2015	Six Years Before the Mast	Robert J. Reitz	Reflections on the cases seen during six years of service on the ABCD
Mar/Apr 2015	A Case Study	Dave Ogden	An outline of a hypothetical complaint and how it is processed by the ABCD

May/June 2015	Why an Actuary Must/Should Read ASOP No. 1	Allan W. Ryan	An overview of ASOP No. 1 effective June 1, 2013
July/Aug 2015	What is a Profession?	Nancy Behrens	Defining a profession with a focus on the Code and how we self-regulate the profession
Sept/Oct 2015	Don't Be 'That Actuary'	John Stokesbury	Examples of potential Code violations
Nov/Dec 2015	The ABCD Process from the Inside	Anonymous	Perspectives from an actuary who was the subject of a disciplinary hearing and what they learned and changed
Jan/Feb 2016	Doing the Right Thing	John M. Purple	A reflection on the Academy's 50th anniversary and building the professionalism infrastructure on a foundation of doing the right thing
Mar/Apr 2016	Because I Said So	Jan Carstens	The need to document what you did and why in selecting data, methods and assumptions
May/June 2016	No Fee, No Foul?	Rick Block	Three examples of no fee advice - all could be considered Statements of Actuarial Opinion
July/Aug 2016	Whose Assumptions are They?	David F. Ogden	The importance of scrutinizing the work of others and requirements for disclosing reliance on others
Sept/Oct 2016	Test Your Professionalism IQ	Allan Ryan	The title says it all - an online 10-question test. A follow-up exam is given in the Nov/Dec 2020 issue.
Nov/Dec 2016	The Dog Ate my Homework, and Other Justifications	Janet Fagan	Exploring some commonly heard excuses and why they do not hold up
Jan/Feb 2017	Peer Review - Small Investment, Big Return	Debbie Rosenberg	Uses and benefits of a peer review
Mar/Apr 2017	A 'Checklist Manifesto' for Professionalism	David Driscoll	An encouragement for creating checklists to facilitate compliance with aspects of professionalism with two examples
May/June 2017	Controlling Communications	John T. Stokesbury	Examples of situations where a reader might be misled by an actuarial communication and how to mitigate that risk
July/Aug 2017	Lessons Learned	John P. Tierney	Four common-sense guidelines to good actuarial practice gleaned from a career spanning more than 40 years
Sept/Oct 2017	Control of Work Product - Be Upfront with Clients	Godfrey Perrott	Steps that can be considered to protect and control the work product, before and after accepting an assignment
Nov/Dec 2017	Precept 1 and 'Bad Conduct'	Jan Carstens	Examples of bad conduct that have resulted in disciplinary actions from the US-based actuarial organizations

Jan/Feb 2018	To Tell or Not to Tell? Unpacking Precept 13	Rick Block	Examples to help us decide what to do in certain circumstances including asking the ABCD for an RFG
Mar/Apr 2018	Precept 10 - Actuarial Disagreements	David Ogden	A story of disagreeing respectfully and disclosing reliance using a state rate filing example
May/June 2018	Know Your ASOPs	Allan W. Ryan	An overview of then-recently revised ASOPs 21, 23, 24 and 52
July/Aug 2018	Communicating Uncertainty: The Importance of Acknowledging Limitations	David Driscoll	Straightforward statements about the limitations of our work are increasingly important to actuarial practice
Sept/Oct 2018	A(E)SOP's Fables	Deborah Rosenberg	The ASOPs function to pass along certain core values to the actuary.
Nov/Dec 2018	If You Want to Grow Big and Strong, Eat Your Spinach	John Stokesbury	A close look at Precept 3 and staying compliant and current with ASOPs - even if you do not like change
Jan/Feb 2019	Hidden in Plain View - The Treasure Trove that is the Academy's Practice Notes	John Tierney	An article featuring the Academy's practice note - <i>Statement of Actuarial Opinion on Property and Casualty Loss Reserves</i> ending with encouragement to be aware of the Practice Notes in your area of practice
Mar/Apr 2019	Feeling Conflicted? The Code Can Help	Godfrey Perrott	Exploring conflicts of interest - the requirements and considerations of Precepts 6 and 7
May/June 2019	Communicating Clearly with ASOP No. 41	David Ogden	A look at ASOP No. 41 and some of the pitfalls to avoid in our rapid-fire electronic world of communication.
July/Aug 2019	Exercising Judgement in a Self-Regulated Profession	Allan W. Ryan	Maintaining our clients' and the public's trust with high standards of service and self-regulation
Sept/Oct 2019	The ABCD Goes to the Movies	David Driscoll	An exploration of the ABCD process featuring a 1948 musical comedy, <i>Are You with It?</i> (which is about an actuary!)
Nov/Dec 2019	Getting Political - Can an Actuary Weigh in on Thorny Issues?	Alice Rosenblatt	Considerations when expressing a public opinion, whether actuarial in nature or not
Jan/Feb 2020	Precept 11 - Tell the Truth, the Whole Truth, and Nothing but the Truth	Kenneth A. Kent	A discussion of the infrequently highlighted Precept 11, Advertising
Mar/Apr 2020	Actuarial Assumptions	Deborah Rosenberg	Identifying, disclosing, and assessing the reasonableness of assumptions with a focus on ASOPs 41 and 43
May/June 2020	Looking for Truth in Shades of Gray	John T. Stokesbury	Three example cases of complaints before the ABCD

July/Aug 2020	Communicating the Unknown	David Ogden	The importance of communicating and disclosing assumptions, reliance and limitations using a novel rate setting example
Sept/Oct 2020	The Gifts and Responsibilities of Age	Godfrey Perrott	Wisdom is valuable; compliance with continuing education is necessary to maintain technical competence and avoiding a visit to the ABCD
Nov/Dec 2020	Follow-Up Exam	Allan W. Ryan	An exam to test your professionalism IQ in 12 questions - also see the original test Sept/Oct 2016
Jan/Feb 2021	A Little Help From My Friends	Albert J. Beer	How the RFG process adds value by providing confidential professional advice and counsel
Mar/Apr 2021	The Art of the Possible	David L. Driscoll	The value of the Code of Conduct and the ASOPs in delineating an actuary's professional responsibilities
May/June 2021	Follow the ASOPs, Inside and Out	Alice Rosenblatt	Should there be a difference in the actuarial services provided to an internal or external client? The author details why her answer is "no"
July/Aug 2021	The Code of Professional Conduct and Ethics	Ken Kent	An exploration of the Code of Conduct, ethics and the decisions we make with examples of determining qualification and disclosing errors
Sept/Oct 2021	Who Knows 14?	Debra Rosenberg	A tour of the Code of Conduct
Nov/Dec 2021	Where Do You Practice?	William Hines	Applicability of the Code of Conduct and ASOPs to international work
Jan/Feb 2022	A Million Little Things	John Schubert	The importance of the Code and the role of the ABCD using a popular show (with an actuary in a leading role) as an example
Mar/Apr 2022	Risk to the Actuary	Richard Kutikoff	Identifies numerous risks that actuaries face in performing services and ways to mitigate those risks.
May/June 2022	Mythology and Actuarial Professionalism	Albert J. Beer	Three common myths debunked
July/Aug 2022	Conflicts of Interest and Codes of Conduct	Godfrey Perrott	A look at Precept 7
Sept/Oct 2022	Our Resilience	David Driscoll	A tribute to a recently deceased dear friend, and lessons from his life story pertaining to personal and professional resilience
Nov/Dec 2022	A Fine Mess	April Choi	Ripped from the headlines: CPA continuing ed exam cheating, and how the situation might have been handled if it had involved actuaries instead
Jan/Feb 2023	Tattoos and Ponytails	Tammy Dixon	A look at precepts 1 and 2 as they pertain to how an actuary presents to the public

Mar/Apr
2023

[That's So Meta](#)

Shawna
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A roundup of every Up to Code article that's
been written